



JC961 U.S. PTO

01-28-02

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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01/24/02

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. YOR9-2001-0666 US1 (8728-553)

First Inventor Joel L. Wolf

Title METHOD AND APPARATUS FOR WEB FARMING

Express Mail Label No. EL922712395US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
*(Submit an original and a duplicate for fee processing)*
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 38]   
*(preferred arrangement set forth below)*
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [ Total Sheets 12 ]
5. Oath or Declaration [ Total Pages 2 ]   
 a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
*(for continuation/divisional with Box 18 completed)*
  - i.  **DELETION OF INVENTOR(S)**  
 Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
*(if applicable, all necessary)*
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of  
*(when there is an assignee)*  Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
*(IDS)*
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
*(Should be specifically itemized)*
15.  Certified Copy of Priority Document(s)  
*(if foreign priority is claimed)*
16.  Request and Certification under 35 U.S.C. 122  
*(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.*
17.  Other: Associate Power of Attorney.....

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation     Divisional     Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below		
Name	Frank Chau			
Address	F. CHAU & ASSOCIATES, LLP			
	1900 Hempstead Turnpike, Suite 501			
City	East Meadow	State	New York	Zip Code
Country	U.S.A	Telephone	(516) 357-0091	Fax
Name (Print/Type)		Registration No. (Attorney/Agent)		34,271
Signature		Date		January 24, 2002

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

## Complete if Known

Application Number	
Filing Date	January 24, 2002
First Named Inventor	Joel L. Wolf
Examiner Name	
Group Art Unit	
Attorney Docket No.	YOR9-2001-0666 US1 (8728-553)

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number	50-0510/IBM
Deposit Account Name	IBM/Yorktown Heights

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.  
See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	\$740.00
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	
<b>SUBTOTAL (1)</b>		<b>(\$ 740.00)</b>	

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
17	-20** = 0	x \$18	= \$0
Independent Claims 3	- 3** = 0	x \$84	= 0
Multiple Dependent		\$280	= 0

\*\*or number previously paid, if greater; For Reissues, see below

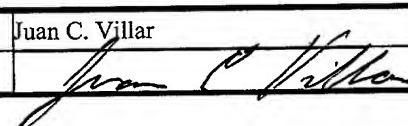
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$ 0.00)</b>

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	\$40
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) _____		<b>SUBTOTAL (3) (\$ 40.00)</b>	

\* Reduced by Basic Filing Fee Paid

## Complete (if applicable)

SUBMITTED BY			
Name (Print/Type)	Juan C. Villar	Registration No. (Attorney/Agent)	34,271
Signature		Telephone	(516) 357-0091
		Date	January 24, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Attorney Docket: YOR9-2001-0666 US1 (8728-553)  
Date: January 24, 2002

Transmitted herewith for filing is the patent application of:

Inventor(s): Joel L. Wolf and Philip S. Yu  
For: METHOD AND APPARATUS FOR WEB FARM TRAFFIC CONTROL

Enclosed are:  29 page(s) of specification;  1 page(s) of Abstract;  8 Page(s) of Claims;  
 12 Sheets of drawings

- Declaration and Power of Attorney  
 An Assignment of the invention to International Business Machines Corporation, Armonk, New York, with PTO Form 1595.  
 Information Disclosure Statement, cited references and PTO Form 1449  
 Associate Power of Attorney

**CALCULATION OF UTILITY APPLICATION FEE**

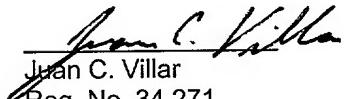
For	Number Filed	Number Extra	Rate	Basic Fee \$740.00
TOTAL CLAIMS	17	0	x 18 =	\$0
INDEPENDENT CLAIMS	3	0	x 84 =	\$0
<input type="checkbox"/> Multiple Dep. Claim			280	\$0
				<b>TOTAL \$740.00</b>

Charge the amount of \$740.00 to Deposit Account No. 50-0510/IBM (Yorktown Heights).

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0510/IBM (Yorktown Heights).  
A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 C.F.R. §1.16.  
 Any patent application processing fees under 37 C.F.R. §1.17.

Date: January 24, 2002

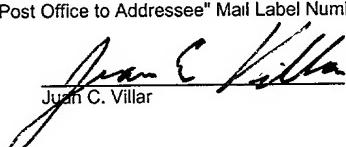
  
Juan C. Villar  
Reg. No. 34,271

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**Attorney for:**  
IBM Corporation  
Intellectual Property Department  
P.O. Box 218  
Yorktown Heights, NY 10598

**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date January 24, 2002, in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL922712395US addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Juan C. Villar